



Privacy Policy

Equivest (Mauritius) Limited ("Equivest", "we", "our", or "us") offers multi-asset execution, prime brokerage, and risk advisory services ("Services") to professional investors ("Clients", "you", or "your"). Equivest is dedicated to safeguarding your privacy and upholding the confidentiality and security of your personal data. As the data controller, we are responsible for the processing of your personal information. Where your personal information is provided in connection with the Services we deliver, Equivest, either directly or through third parties acting on our behalf, may process your personal data and that of your directors, officers, employees, or beneficial owners.

This Privacy Policy outlines how Equivest gathers, uses, and protects personal data concerning its Clients.

In processing personal data, Equivest acts as the "data controller" under applicable data protection legislation. Our affiliates and service providers may act as "data processors" in accordance with these laws.

1. Collection of Client Personal Information

Equivest may collect personal data including names, contact details, and financial information through:

Account application and onboarding documentation, or other details you provide in person, via phone, electronically, or by other means. This may include your name, address, contact details, nationality, employment background, and financial or investment credentials;

Transactional records such as account activity and communications with Equivest;

Verification tools and consumer reporting services, including assessments of your creditworthiness and financial background.

2. Purpose of Processing Personal Information

Equivest may use your personal information for the following reasons:

To establish and maintain your account and to fulfill contractual obligations;

To conduct anti-money laundering (AML) checks and support efforts to prevent fraud, terrorism financing, bribery, corruption, tax evasion, or transactions involving sanctioned individuals or entities;



To comply with legal obligations, including tax reporting requirements;

To record and monitor communications for quality assurance, training, fraud prevention, legal compliance, and in the public interest;

To maintain AML records to support future screenings;

To serve our legitimate business interests where these do not override your rights, such as service management, operational needs, and legal compliance.

3. Sharing of Personal Information

Equivest may share your personal data with affiliated entities and third-party service providers where legally permissible, including:

Regulatory bodies, courts, or authorities in response to legal obligations;

In connection with mergers, acquisitions, or asset sales;

To protect against fraud or unauthorised transactions;

To service providers including brokers, legal counsel, auditors, and technology vendors;

To facilitate the offering of affiliated products or services;

To process transactions as instructed by you.

All third parties are contractually bound to use your data solely for the purposes outlined above.

4. International Transfers

Where data is transferred internationally, Equivest ensures adequate safeguards are in place - such as approved standard contractual clauses or equivalent legal mechanisms - to maintain a high level of data protection in the receiving jurisdiction.

5. Legal Basis for Data Collection

Equivest collects and processes your data on the basis that it is:

Necessary for account operation and service delivery;

Required to comply with legal obligations (e.g., AML compliance);



Part of our legitimate interest in providing requested services and complying with relevant laws.

Failure to provide certain data may result in Equivest being unable to establish or continue a client relationship.

6. Data Retention

We retain your personal information only as long as necessary to provide Services, carry out investigations related to those Services, meet legal obligations, and protect our legal rights.

7. Your Rights

Subject to applicable law, you have the right to:

Be informed about the collection and use of your personal data;

Confirm whether Equivest processes your data and access your data;

Request correction of inaccurate or incomplete information;

Request deletion of your personal data, subject to legal exceptions;

Restrict further processing of your data;

Obtain and reuse your data across different services in a portable format;

Object to processing based on legitimate interests or for direct marketing.

To exercise these rights, contact your Equivest representative. We aim to respond within one month, or two months in complex cases.

8. Withdrawal of Consent

Where processing is based on your consent, you may withdraw it at any time by contacting your Equivest representative.

9. Former Clients

This Privacy Policy continues to apply to former Clients residing in the UK, EU, and EEA under the GDPR.



10. Data Security

Equivest employs robust technical and organisational security measures to protect personal information, including:

Encryption and pseudonymisation where appropriate;

Maintaining system confidentiality, integrity, and availability;

Procedures for timely recovery from physical or technical disruptions;

Routine evaluations of security practices.

Access to client information is limited to personnel with a business need to know.

11. Further Information

This Privacy Policy supersedes all previous versions provided to you. For further clarification or inquiries, please contact your designated representative at Equivest.

Dated: March 2025